eQuipt GUIDE

Your Custom Account Portal

View your investment accounts online at <u>equipt.osaic.com/</u> or download the **Osaic eQuipt** mobile app on your iOS or Android device. Use this guide to learn how to sign up and navigate **eQuipt**.

Table of Contents

eQuipt Features	-
Sign Up	
View Accounts on the Dashboard6	i
View Accounts in Wealthscape ^s ™	,
Customize eDelivery Preferences8	
Access Communications9	1
Link Household Accounts11	
View/Pay for Financial Planning Invoices12	
Login Assistance13	

eQuipt Features

- View all your brokerage investment accounts through a single login:
 - Automatically view all accounts established under your Social Security number
 - o Link and view additional accounts within your household
 - View important account information, including balances, holdings, activity, order statuses (as of close of business the previous day), and more
- Customize eDelivery preferences for account communications.
- Access account communications including statements, tax documents, and trade confirmations
- Pay for financial planning services via a bank account or credit/debit card.

Sign Up

To sign up for **eQuipt**, follow the registration process below:

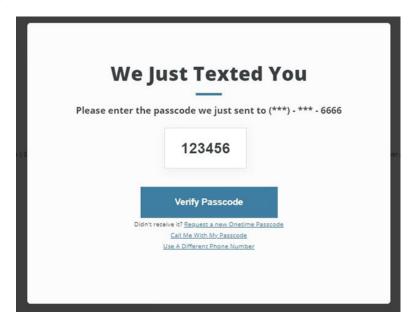
1. Go to <u>equipt.osaic.com</u> and click **Sign Up** on the login screen (shown below). In certain situations, your financial professional may send you a link that takes you directly to Step 2, (below).

	eQuipt		٢	eQuipt		
-	ogin	Welcome		ASSET SUMMARY	S ACCOUNT BETAILS TRANSACT	Tera Market Valve
Enter your ed Username Username or Email	Quipt credentials Forgot Username ?	A Ne Hom Here	epa	ASET CLASS		44,834.87 automotoy
Password Enter Your Password	Forgot Password?		eQuipt		21,347.34 10,003.40 5,647.37	36.20% 22.30% 21.46% All History
				1202/2019 MB 1202/2019	SMBOL CORP UILDOLLOS COMBINEY ADULS COMBINENT ADULS COMBINENT ADULS COMBINENT COMPACT	1.85
	account? Sign Up →	■ Mas © Equity © Cash Hittel aquienty arm ● 12062019	anness and sectors			

- 2. If you began your enrollment process by clicking an email link sent by your financial professional, you will be asked to provide your date of birth, last 4 digits of your Social Security number, and your zip code for verification. If you initiated the enrollment process yourself using the Sign-Up link mentioned in Step 1, you will be asked to provide your date of birth, last 4 digits of your Social Security number, your zip code, and any one of your Osaic account numbers for verification. Click Next to continue.
- 3. Complete the identity verification process:
 - The first prompt will display the phone number(s) on file for you.
 - Select the number to which you want the security code sent (if only one number is on file it will be preselected). Then select Send Passcode via Text or Call Me With My Passcode.

	eQ	uipt	Logout
	Your Security is	Dur Top Priorit	у
We will need to ser	d you a Onetime Passcode in order to veri meth		phone number and delivery
	Mobile	56	٦.
	Mobile (***) - *** - 777	77	
	Send Passco	de Via Text	
	Call Me With N		

• Next, you'll receive a security code via text message or phone call (generally within a few seconds).



• Once you receive the passcode on your device, input the passcode and click **Verify Passcode** to continue. For security purposes, you may also be asked to complete this process as part of future logins. 4. Review your phone number(s) and email address(es) for accuracy. You can edit or delete any incorrect entries or add new entries as needed. Click **Everything Looks Right** when complete.

01 VERIFY Y	OUR ACCOUNT	02 AUTHENTICATE YO IDENTITY	UR 03 CONTACT INFOR	MATION	04 REGISTER
	ls Th	is Informa	ition Still A	ccu	rate?
			nge from time to time. Si anything looks incorrec		vill be using it to validate update it at this time.
	Phone Num Mobile Phone Num				Primary ⑦
	(444) 555 - 666	6		Ē	0
	Verified Phone Nur	mber - Mobile 💿			
	(555) 666 - 777	7			
	Home Phone Numl	ber			
	Add Home Pho	one Number		1	
	Business Phone Nu	umber			
	Add Business	Phone Number			•
	Email You must have at	least one email address on fi	le Туре		Primary ①
	james@smith	n.com	Personal	1	0
			Туре		
	Add Email		Select Type		•

5. Lastly, create a username and password, mark the checkbox to agree to the terms described, and click **Next**. Your enrollment process is complete. Remember to add <u>equipt.osaic.com/</u> to your browser's Favorites list!

	e	Quipt	
01 VERIFY YOUR ACCOUNT	02 AUTHENTICATE YOUR IDENTITY	83 CONTACT INFORMATION	04 REGISTER
	Reg	ister	
		your login credentials	
Create Userna Usernami			
Create Passwe	ord		
Enter You	r Password		۲
Confirm Passa Confirm Y	vord 'our Pastword		
	and I affirmatively consent to a	Terms and Conditions for use of this we electronic delivery of any communicatio il provided by me for this account ⁽³⁾	bsite ns or

View Accounts on the Dashboard

After logging into **eQuipt**, the first screen you'll see is the Dashboard. (NOTE: the top left of the screen shows you are in the eQuipt tab and Dashboard sub-tab.) From here you can view your portfolio as of the previous business day's market close, including:

- Account values
- Top 5 holdings (Select "Show More" to view your top 10 holdings)
- Five most recent account activities (Select "Show More" to view your 10 most recent activities)
- Overall asset allocation

	EALTHSCAPE					
SHBOARD	TASKS NOTICE	S SETTINGS				
ASSET SUMM	ARY =		ACCOUNT DETAI	LS		
		tal Market Value 20,515.06	REGISTRATION	ACCOUNT	NUMBER	MARKET VALUE
		as of prior day	Individual SAGEPOINT FINANC		JP000006	11,830.83
			Individual SAGEPOINT FINANC		IP000005	8,684.23
ASSET CLASS	MARKET VALUE	PERCENTAGE	Individual SAGEPOINT TEST FINAN	<u>0</u>	V000005	0.00
Mutual Fun		47.77%	Individual	J	21000008	0.00
EquityCash	6,273.83 4,441.77	30.58% 21.65%	SAGEPOINT TEST FINAN			
RECENT ACTI	VITY SYMBOL / CUSIP	NET AMOUNT	TOP HOLDINGS	QUANTITY	PRICE	MARKET VALUE
08/07/2020	ISHARES TR 1-3 YR 464287457	0.25	AIGI PER980007 INSURED DEPOSIT P	3,648.37	1.00	3,648.37
08/03/2020	PIMCO DYNAMIC BOND 72201M487	2.58	DCUSX	251.28	9.99	2,510.31
08/03/2020	PIMCO GLOBAL BOND	0.06	25157M547 DWS CROCI U.S. FUN D			
07/31/2020	693390130 SPDR S&P 500 ETF TR	5.46	FVADX 355148503 FRANKLIN SMALL-CA P.V	38.94	45.81	1,783.75
07/28/2020	78462F103 IVY SECURIAN CORE	1.23	PFIUX 72201M487	130.96	10.69	1,399.99
	465897775 Show More		PIMCO DYNAMIC BO ND F			
			SPY 78462F103 SPDR S&P 500 ETF T	4.00	334.57	1,338.28

View Accounts in WealthscapeSM

For detailed information about each of your investment accounts, follow these steps:

1. Access the **Wealthscape** tab. Wealthscape will launch in a separate browser tab.

	eQuipt						
EQUIPT WEALTHSCAP	E						
DASHBOARD TAL S	NOTICES SETTINGS						
ASSET SUMMARY		ACCOUNT DETAILS					
ASSET SUMMARY	Total Market Value 28,698.78	ACCOUNT DETAILS REGISTRATION ACCOUNT NUMBER MARKET VA	LUE				

- 2. Select the account you'd like to view by clicking its **Details** link in the Accounts sidebar on the left.
- 3. Use the tabs and sub-tabs along the top of the screen to navigate between the various pages of information (e.g., Positions, Balances, Activity & Orders, Documents, etc.).
- 4. You can show/hide the Accounts sidebar by clicking the Accounts icon in the top left.

0	pen/Unrealized Wealthscape 🗙	+							~ -		×
← -	C mystreetscape.co	m/ssc/singlecontaine	r/my/spf/app/accou	nt/positions?AcctNum=AL	IA000019				i	* =	:
V2	020 🍺 WMP Demo 🍺 WMP	Triad 🤹 Learning Co	rner 🤹 AG Intranet	🔜 SharePoint 🔜 Smar	tsheet 🛛 📕 Planner- T	fraining Te	🖋 SAI 💽 Once	Hub 📑 Zoom 📢	Theta Lake		
Wea	althscape Investor~						😭 Home	≡ Menu ×	9 User Options	G∙ Sign (Out
ō	counts		Individual (I)	5UP-000009							
	4 AS OF 28-JAN-2022 6:57:33 PM ET	C 🗘 🛛	Positions Bala	nces Activity & Orders I	Documents Profile/F	Features Ca	sh Management				
arkets æ search	Portfolio 4 Accounts	\$28,698.78	-	Previous Sales/R Open/Unrealized) for 5UP-4	Estimated Income		A	s of 26-Jan-2022 1:3	2:36 PM ET 🍫 🕯	9 x #	?
M		Day Chg: \$0.00	Group By None	Search By Sy	mbol 🗸			Display			
luote	Investment Accounts		Security ID	→ Security Description	Closing Quantity	Recent Q	Recent Price	Recent Market Value	Account Type		
	2 Accounts	\$9.084.20	QAGCQ 🚽 °	ADVISOR GROU	2,122.480	2,122.4	\$1.00000 ^{cp}	\$2,122.48	Cash		
ook-		Day Chg: \$0.00	ADM 👻	ARCHER-DANIEL	100.000	100.000	\$72.04500	\$7,204.50	Cash		
			SENCX 🗸	TOUCHSTONE L	19.370	19.370	\$54.75000 ^{cp}	\$1,060.50	Cash		
	Individual (I)	Details						\$10,387.48			5
	5UP-000006	\$1,399.97‡									
		Day Chg 2									
	Uniform Transfer to Minors Act	(UTMA) Details									
	5UP-000007	\$7,684.23‡									
		Day Chg: \$0.00									

Customize eDelivery Preferences

As an account holder, you will receive communications about your account(s) including statements, trade confirmations, disclosures, tax documents, and more. You can choose whether to receive these communications in paper or electronically.

NOTE: when you initially sign up for **eQuipt**, you are enrolled in electronic delivery for all communications *except* statements and tax documents. You can change these settings at any time via the process described below.

Paper Delivery

If you select paper delivery, communications will be mailed to your address of record and a PDF version will also be available in **eQuipt**. Depending on account type, fees may apply for paper delivery of trade confirmations (please speak to your financial professional for more information).

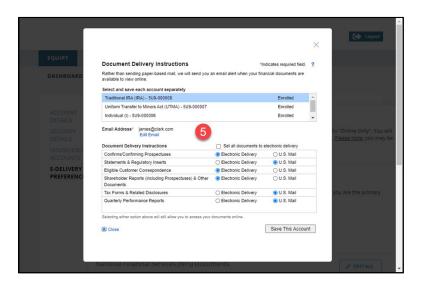
Electronic Delivery (eDelivery)

If you select eDelivery, you will receive an email notification when a communication is available to view in **eQuipt** as a PDF.

To edit your eDelivery settings, complete the steps below (see screenshots on next page):

- 1. In the eQuipt tab, select Settings.
- 2. Select eDelivery Preferences on the left.
- 3. The "Osaic Documents" section enables you to set your eDelivery preferences for Disclosures & Policies and Firm Correspondence (hover over each category for a description).
- 4. The "NFS Documents" section shows the eDelivery settings for each of your NFS accounts. (NOTE: this section is only shown if you have active NFS accounts.) To change these settings, select **Edit All** in the top right.
- 5. A popup window will display where you can set your eDelivery preferences on an accountby-account basis. Select the account in the top half of the window, make your eDelivery selections in the bottom half of the window, then click "Save This Account" to apply your changes.

			eQuipt				C Logo
EQUIPT WEALTHSCAPE							
DASHBOARD TASKS	NOTICES SE						
ACCOUNT DETAILS SECURITY DETAILS HOUSEHOLD ACCOUNTS E-DELIVERY PREFERENCES	notification when th	ences ant e-delivery preferences ese documents are availat ose to receive on paper.					
	Osaic Document	5 3					
	will include both you	locuments in this section v ir brokerage and non-broi	kerage accounts.			are the primary autho	rized party and
		ir brokerage and non-brol	kerage accounts.	Paper & Onli	ne	are the primary autho	rized party and
	will include both you DISCLOSURES & PO FIRM CORRESPOND	ir brokerage and non-brol	Online Only Online Only Online Only	Paper & Onli	ne		rized party and
	will include both you DISCLOSURES & PO FIRM CORRESPOND National Financi	ur brokerage and non-broi LICIES DENCE	online Only online Only online Only online Only uments	Paper & Onli	ine		
	will include both you DISCLOSURES & PO FIRM CORRESPOND National Financi These are the e-delin	ir brokerage and non-broi LICIES DENCE al Services (NFS) Doc	Online Only Online Only Online Only Online Only Online Only In place for your accommodation	Paper & Onli Paper & Onli unts and the	ine		
	will include both you DISCLOSURES & PO FIRM CORRESPOND National Financi These are the e-delin REGISTRATION AI NO DEFINITION	In brokerage and non-brok LICIES DENCE al Services (NFS) Doc very preferences currently CCOUNT STATEMENTS	online Only online Only online Only online Only in place for your accor NOTIFICATIONS Delive Only	Paper & Onli Paper & Onli unts and the	ine r respective docume TRADE	nts at the custodian. PROXY / SHAREHOLDER	EDITALL
	will include both you DISCLOSURES & PO FIRM CORRESPOND National Financi These are the e-delin REGISTRATION AI TRA ROBOVET IMAR ROBOVET	ur brokerage and non-brok LUCES VENCE al Services (NFS) Doc very preferences currently cumber a REPORTS PROFOS	Online Only Online Only Online Only Online Only Online Only In place for your accor NOTIFICATIONS T Online Only Online Only Online Only Online Only Online Only	Paper & Onli Paper & Onli iunts and thei AX OCUMENTS Paper &	ne r respective docume TRADE CONFIRMATIONS	PROXY / SHAREHOLDER COMMUNICATIONS	EDIT ALL PROSPECTUS



Access Communications

Most account communications will be available in **eQuipt** and, depending on the type of communication, will either display in the **Notices** page or in the **Wealthscape Documents** page.

Notices

The Notices page provides access to the following types of communications:

- Account Disclosures
- Firm Correspondence:
 - Welcome Letter (sent when you open your first new account)
 - Address Change letters (sent when your address has been updated and when you open additional accounts)
 - Change Letters (sent when your financial or other information has been updated).
- Performance Reports (for fee-based advisory accounts)

To access Notice Communications:

- 1. Select the **eQuipt** tab.
- 2. Select the **Notices** sub-tab.
- 3. Select Disclosures & Policies, Firm Correspondence, or Performance from the options on the left
- 4. Within the selected tab, select the communication to view. You can filter the document list by account, date range, communication type, etc. then click **Apply Filters.**

	eC	Quipt	C Logout
EQUIPT			
dashboard tasks	NOTICES SETTINGS		
DISCLOSURES & POLICIES FIRM CORRESPONDENCE PERFORMANCE	DISCLOSURES & POLICIES Policy Type All	Time Period Last 6 Months V	
	Apply Filters DATE 6/30/2020 6/30/2020	DOCUMENT TYPE Form CRS Broker-Dealer Firm Brochure	_

Wealthscape Documents

Account-level communications, such as statements, trade confirmations, and tax documents can be reviewed by accessing Wealthscape:

- 1. Click **Details** in the sidebar next to the account you want to view.
- 2. Click the **Documents** tab.
- 3. Select the type of document to view (Statements, Confirms, Tax Documents, or Correspondence).

**	althscape Investor						😭 Home
ounts	Accounts	×	Individual (I) 5UP-000009	2		
	AS OF 25-JAN-2022 4:57:2	4 PM ET 🔿 🌣 🚱	Positions Ba	alances Activity & Orders	Documents	Profile/Features	Cash Management
S arkets	Portfolio		Statements	Confirms Tax Documents	Correspond	ence	
earch	4 Accounts	\$20,703.03	Account Staten	nents & Re 3 (Stateme	ents) for 5UP-00	0009:	
~		Day Chg: +\$5.01 (+0.24%)		ailable for the previous 120 f	Months*.		
uote	 Investment Accounts 		2021 Stateme	ents		2015 Sta	tements
1	4 Accounts	\$20,703.03	Date	Statements and Notices	(PDF)		
ook-		Day Chg: +\$5.01 (+0.24%)	12/31/2021	Monthly / Quarterly Sta	tement	1 2014 Sta	tements
arks				DOL PTE 12 2021			
	1 1 1 1 1 1 1		11/30/2021	Monthly / Quarterly Sta	tement		
	Individual (I)	Details	10/31/2021	Monthly / Quarterly Sta	tement	🗄 2013 Sta	tements
	5UP-000009	\$11,830.83	09/30/2021	Monthly / Quarterly Sta	tement		
		Day Chg: +\$13.01 (+0.11%)		NF PRIVACY & REG NOT	ICE	🗄 2012 Sta	tements
			08/31/2021	Monthly / Quarterly Sta	tement		
	Individual (I)	Details	07/31/2021	Monthly / Quarterly Sta	tement		
	5UP-000008	\$8,872.20	06/30/2021	Monthly / Quarterly Sta	tement		
		Day Chg: -\$8,00 (-0,11%)		SWEEP PROGRAM CHAN	IGES		
		, , ,	1	PAYMENT FOR ORDER F	low		

Link Household Accounts

eQuipt provides you access to all brokerage accounts active under your Social Security number, using a single login. Brokerage accounts for other people in your household (spouse, children, etc.) can be linked to your login as well. NOTE: linked accounts will only display on the Dashboard, not in Wealthscape.

- 1. Click the **eQuipt** tab.
- 2. Select Settings.
- 3. Select Household Accounts.
- 4. Click Link Another Account. Next, you will be asked to provide the information about the account being linked including its *Account Number, Last 4 digits of Social Security number, Date of Birth*, and *Zip Code*. Once you have inputted this information, click the Link button.
- 5. If you ever need to unlink the account, click its trashcan icon

eQuipt				Logout
EQUIPT WEALTHSCAPE				
DASHBOARD TASKS	NOTICES	SETTINGS	2	
ACCOUNT DETAILS SECURITY DETAILS HOUSEHOLD ACCOUNTS	HOUSEHOLD AG	COUNTS	Link Another Ac	count →
3-DELIVERY PREFERENCES	Household A	Accounts (0)	-	
	Personal Acc	counts (2)		
	SAM SMITH 123 MAIN STI		Account # 036001188 Individual	
	SAM SMITH 123 MAIN STI		Account # 036001196 Individual	

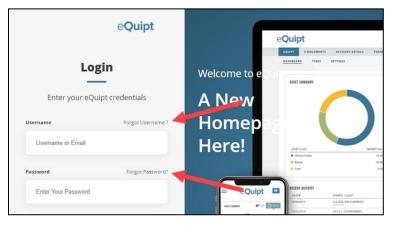
View/Pay Financial Planning Invoices

If you receive financial planning or consulting services billed to you electronically, you will have an **Invoices** tab available within the eQuipt tab. (NOTE: this tab will not display if it is not applicable.) Use the **Invoices** tab and follow the onscreen prompts to easily pay via a bank account or credit/debit card.

		C Logout			
EQUIPT	WEALTHSCAPE				
DASHBOARD		TASKS	NOTICES	SETTINGS	
Invoices	5				
CLIENT NAME	STATUS		AMOUNT	PAYMENT DUE	
John Clark	a 🔊 Outs	tanding	\$ 2,000.00	01/28/2022	Pay

Login Assistance

If you forget your username or password, utilize the **Forgot Username** and **Forgot Password** links available on the login screen.



- Forgot Username: Input your email address and click Next to have the username emailed to you.
- **Forgot Password**: Provide your username and complete the security process, after which you will be able to set up a new password.
- Update your username, password, email address, and mobile number at any time in the eQuipt tab > Settings page by accessing the Account Details and Security Details screens:

	eQuipt	C Logout	
EQUIPT WEALTHS	APE		
DASHBOARD TASK	S NOTICES SETTINGS		
ACCOUNT DETAILS SECURITY DETAILS HOUSEHOLD ACCOUNTS	SECURITY DETAILS You can update your security details here.		
E-DELIVERY PREFERENCE	Username John@Clark.com	Ø	
	Password ********	Ø	

If you have any questions, please contact your financial professional.

© Osaic, Inc. • osaic.com • 8/143/23

Securities and investment advisory services are offered through the firms: FSC Securities Corporation, Osaic Wealth, Inc., SagePoint Financial, Inc., Triad Advisors, LLC, Infinex Investments, Inc., and Woodbury Financial Services, Inc., broker-dealers, registered investment advisers, and members of FINRA and SIPC. Securities are offered through Securities America, Inc., American Portfolios Financial Services, Inc., and Ladenburg Thalmann & Co., broker-dealers and member of FINRA and SIPC. Advisory services are offered through Arbor Point Advisors, LLC, American Portfolios Advisors, Inc., Ladenburg Thalmann Asset Management, Inc., Securities America Advisors, Inc., and Triad Hybrid Solutions, LLC, registered investment advisers. Advisory programs offered by FSC Securities Corporation, Osaic Wealth, Inc., SagePoint Financial, Inc., Securities America Advisors, Inc., Triad Advisors, ILC., and Woodbury Financial Services, Inc., are sponsored by VISION2020 Wealth Management Corp., an affiliated registered investment adviser. #4965549